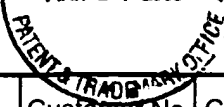


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
FIS920030105US1
(16462)

In Re Application Of: Victor W.C. Chan, et al.

MAR 24 2005



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/604,003	6/20/2003	Fazli Erdem	23389	2826	1002

Title: SUBSTRATE ENGINEERING FOR OPTIMUM CMOS DEVICE PERFORMANCE

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 09-0458/IBM as described below.
- ☒ Charge the amount of \$180.00
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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Leslie S. Szivos, Ph.D.

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Dated: March 21, 2005

Leslie S. Szivos, Ph.D.
Registration No. 39,394

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Victor W.C. Chan, et al. **Examiner:** Erdem, Fazli
Serial No: 10/604,003 **Art Unit:** 2826
Filed: June 20, 2003 **Docket:** FIS920030105US1 (16462)
Dated: March 21, 2005
For: SUBSTRATE ENGINEERING FOR OPTIMUM CMOS DEVICE
PERFORMANCE

Commissioner for Patents
United States Patent Office
Alexandria, VA 23313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

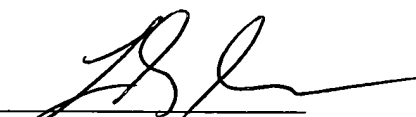
In accordance with 37 C.F.R. §1.97 and 1.98, it is requested that the five (5) references, which are listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,317,175, dated May 31, 1994 to Throngnumchai;
2. U.S. Patent Appl. Pub. No. US 2001/0026006 A1, dated October 4, 2001 to Noble, et al

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents
Alexandria, VA 23313-1450 on the date set forth below.

Dated: March 21, 2005


Leslie S. Szivos, Ph.D.

3. U.S. Patent Appl. Pub. No. US 2002/0173093 A1, dated November 21, 2002 to Juengling;
4. Great Britain Patent Appl. No. 51453/68 filed October 30, 1968;
5. Japanese Patent No. JP2181948 dated July 16, 1990

Applicants are submitting copies of the cited references together with an English language abstract.

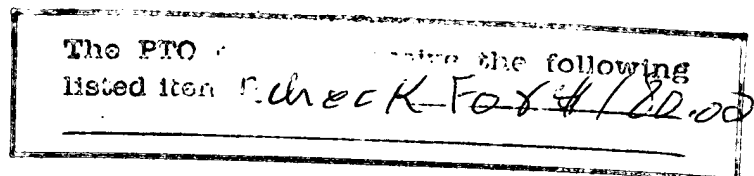
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(c), a check in the amount of \$180.00 is enclosed herewith.

Respectfully submitted,

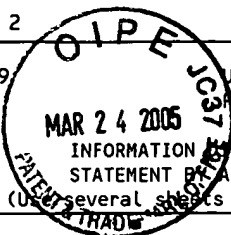


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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
FIS920030105US1 (16462)SERIAL NO.
10/604,003APPLICANTS
Victor W.C. Chan, et al.FILING DATE
March 20, 2005GROUP ART UNIT
2826

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,317,175	05/31/94	Throngnumchai			
	2001/0026006 A1	10/04/01	Noble, et al.			
	2002/0173093 A1	11/21/02	Juengling			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	51453/68	30/10/68	GB				
	JP2181948	16/07/90	JP				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.